

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Rutkowski et al. : Group Art Unit:
Serial No.: : Examiner:
Filed: :
For ROBOTIC PEN :
:

INFORMATION DISCLOSURE STATEMENT

- 1 **Pursuant to 37CFR 1.97(b)**
[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge*
- 2 **Certification Pursuant to 37 CFR 1.97(c)(1)**
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*
- 3 **Fee Payment Pursuant to 37 CFR 1.97(c)(2)**
[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*
- 4 **Certification & Fee Payment Pursuant to 37 CFR 1.97(d)**
[On or before issue fee payment] *\$180.00*

ATTN: BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

- 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

Date of Deposit

Type or Print Name

Signature

This paper is submitted in accordance with:

6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]

7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and

8 The required Certification made in item 11 below; or

9 The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.

10 37 CFR 1.97(d): [on or before issue fee payment]; and

- The required Certification is stated in item 11 below; and
- The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.

11 Certification

12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or

13 No item contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.

14 Payment of all applicable fees:

15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868

An original and two (2) copies of this document are enclosed.

Respectfully submitted,

July 9th, 2003
Date

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CRD Patent Docket Rm 4A59
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Custom r Number: 006147



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FORM PTO-1449

(REV. 7-80)

(Title Amended 3/83)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

126762

SERIAL NO.

Applicant

Rutkowski et al.

Filing Date

Group

INFORMATION DISCLOSURE STATEMENT BY APPLICANT--
LIST OF ITEMS

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER				DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
BA									
BB									
BC									
BD									
BE									
BF									
BG									
BH									
BI									
BJ									
BK									

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	No
BL								
BM								
BN								
BO								
BP								

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

BR	US Publication 2002/0001675 A1, Tisone	✓
BS	Haas Automation, Inc., "The Tool Room Mill, Quick Start Guide", 7/2001, brochure, 4 pages	✓
BT	Harvard Apparatus Co., "Harvard PHD 2000 Advanced Syringe Pumps", date 2001, brochure, 2 pages	✓

EXAMINER DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (REV. 7-80) (Title Amended 3/83)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 126762	SERIAL NO.
INFORMATION DISCLOSURE STATEMENT BY APPLICANT-- <u>LIST OF ITEMS</u> (Use several sheets if necessary)		Applicant Rutkowski et al.			
		Filing Date		Group	

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER			DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
CA								
CB								
CC								
CD								
CE								
CF								
CG								
CH								
CI								
CJ								
CK								

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	No
CL								
CM								
CN								
CO								
CP								

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

CR	Syringe pump.com, "Multi-phaser Model: NE-1000", 1/28/02, brochure, 42 pages
CS	OhmCraft, Inc., "Model 400a User's Manual", 12/2000, 144 pages <input checked="" type="checkbox"/>
CT	

EXAMINER _____ DATE CONSIDERED _____

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.
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		Filing Date		Group	

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER					DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	6	4	0	1	7	9	5	✓	Cesarano, III		
AB	6	3	6	0	5	6	2	✓	Kodas et al.		
AC	6	3	3	2	9	2	6	✓	Pfaendtner et al.		
AD	6	0	9	5	7	2	9	✓	Pattison et al.		
AE	5	9	1	6	5	2	4	✓	Tisone		
AF	5	7	2	7	2	9	6	✓	Kobler et al.		
AG	5	7	1	7	5	9	9	✓	Menhennett et al.		
AH	5	6	5	6	0	3	4	✓	Kochersperger et al.		
AI	5	6	1	3	9	2	9		Bayer		
AJ	4	4	7	7	7	5	4		Roch et al.		
AK											

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	No
AL		0 1 8 2 5	05/10/84	International Application				
AM								
AN								
AO								
AP								

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

AR	US Publication 2002/0136613 A1, Tidwell, 9/26/02, Rotary Actuator for Precision Applications
AS	US Publication 2002/0077233 A1, Oldani,
AT	US Publication 2002/0045861 A1, Woo,

EXAMINER	DATE CONSIDERED
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